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Permutation Polynomials and Polynomial Generators of a General Linear Group

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MD-DC-VA Section of the MAA
Fall 2016 Meeting
Johns Hopkins University
November 5, 2016

Finite Fields and Permutation Polynomials

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Let p be prime and consider the finite field \mathbb{F}_{p^n}

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Theorem (Lagrange Interpolation)

Any function $\varphi: \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$ may be represented as a polynomial $f(X) \in \mathbb{F}_{p^n}[X]$ according to the formula:

$$f(X) = \sum_{x \in \mathbb{F}_{p^n}} (1 - (X - x)^{p^n - 1}) \varphi(x).$$

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$$f(X) = \sum_{x \in \mathbb{F}_{p^n}} (1 - (X - x)^{p^n - 1}) \varphi(x).$$

Definition (Permutation Polynomial)

A polynomial $f(X) \in \mathbb{F}_{p^n}[X]$ is a *permutation polynomial* if it induces a bijection of \mathbb{F}_{p^n} under evaluation.

Examples of Permutation Polynomials

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Example (Linear polynomials)

$aX + b$ for any $a \in \mathbb{F}_{p^n}^*$ and any $b \in \mathbb{F}_{p^n}$

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X^m if and only if $(m, p^n - 1) = 1$

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Example (Monomials)

X^m if and only if $(m, p^n - 1) = 1$

Example (All-ones polynomials)

$1 + X + X^2 + \cdots + X^k$ if and only if $k \equiv 1 \pmod{p(p^n - 1)}$

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Example (All-ones polynomials)

$1 + X + X^2 + \cdots + X^k$ if and only if $k \equiv 1 \pmod{p(p^n - 1)}$

Example (Dickson polynomials of the first kind)

$$g_k(X, a) := \sum_{j=0}^{\lfloor \frac{k}{2} \rfloor} \frac{k}{k-j} \binom{k-j}{j} (-a)^j X^{k-2j} \text{ for } a \in \mathbb{F}_q^*$$

if and only if $(k, (p^n)^2 - 1) = 1$

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Example (Linear monomials)

$\langle aX \rangle \cong C_{p^n-1}$ for a fixed primitive $a \in \mathbb{F}_{p^n}^*$

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$\langle X + b \rangle \cong C_p$ for a fixed $b \in \mathbb{F}_{p^n}^*$

Example (Linearized polynomials)

$\langle L(X) \rangle \cong GL(\mathbb{F}_{p^n})$ where $L(X) = \sum_{i=0}^{n-1} \ell_i X^{p^i}$ such that the unique zero of $L(X)$ is 0

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Example (Dickson polynomials of the first kind)

$g_k(X, a)$ is an abelian group if and only if $a \in \{-1, 0, 1\}$

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1 Injection: $\sigma: G \hookrightarrow \mathbb{F}_{p^n}$

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Let G be a group of order at most p^n

1 Injection: $\sigma: G \hookrightarrow \mathbb{F}_{p^n}$

2 Action of G on \mathbb{F}_{p^n} :

$$g * x := \begin{cases} \sigma(g \cdot \sigma^{-1}(x)), & x \in \sigma(G) \\ x, & x \notin \sigma(G) \end{cases}$$

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3 Interpolation:

$$f_g(X) = \sum_{x \in \mathbb{F}_{p^n}} (1 - (X - x)^{q-1}) (g * x)$$

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3 Interpolation:

$$f_g(X) = \sum_{x \in \mathbb{F}_{p^n}} (1 - (X - x)^{q-1}) (g * x)$$

4 Operation: composition and reduction modulo $X^{p^n} - X$

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$$\blacksquare f_g(f_h(X)) = f_{gh}(X) \quad (\text{closure})$$

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- $f_g(f_h(X)) = f_{gh}(X)$ (closure)
- $f_e(X) = X$ (identity)

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- $f_g(f_h(X)) = f_{gh}(X)$ (closure)
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- $f_g(X)^{[-1]} = f_{g^{-1}}(X)$ (inverse)

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- $f_g(f_h(X)) = f_{gh}(X)$ (closure)
- $f_e(X) = X$ (identity)
- $f_g(X)^{[-1]} = f_{g^{-1}}(X)$ (inverse)

Theorem

The representation polynomials form a group under composition modulo $X^{p^n} - X$ which is isomorphic to G :

$$G \cong \{f_g(X) : g \in G\}.$$

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Example (Cyclic group of order p^n)

For any $z \in \{1, 2, \dots, p^n - 1\}$ and any primitive element $\xi \in \mathbb{F}_{p^n}$, the polynomials

$$\xi X + \xi^z \left(1 + \xi^{1-z} X + (\xi^{1-z} X)^2 + \dots + (\xi^{1-z} X)^{p^n-2} \right)$$

are permutation polynomials over \mathbb{F}_{p^n} .

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For any $z \in \{1, 2, \dots, p^n - 1\}$ and any primitive element $\xi \in \mathbb{F}_{p^n}$, the polynomials

$$\xi X + \xi^z \left(1 + \xi^{1-z} X + (\xi^{1-z} X)^2 + \dots + (\xi^{1-z} X)^{p^n-2} \right)$$

are permutation polynomials over \mathbb{F}_{p^n} .

Example (Cyclic group of order p^2)

The polynomials

$$1 \pm X + X^{p-1} + X^{2(p-1)} + \dots + X^{p^2-p}$$

are permutation polynomials over \mathbb{F}_{p^2} .

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- Let $C_{p^2} = \langle g \rangle$

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- Let $C_{p^2} = \langle g \rangle$
- Fix a basis $[\beta] = [\beta_0, \beta_1]$ of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p

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- Let $C_{p^2} = \langle g \rangle$
- Fix a basis $[\beta] = [\beta_0, \beta_1]$ of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p
- Write the p -adic expansion of k as $k = \kappa_0 + \kappa_1 p$

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- Fix a basis $[\beta] = [\beta_0, \beta_1]$ of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p
- Write the p -adic expansion of k as $k = \kappa_0 + \kappa_1 p$
- Write $x \in \mathbb{F}_{p^2}$ and $x = \lambda_0 \beta_0 + \lambda_1 \beta_1$

The Example of Interest: C_{p^2}

Injection:

$$\sigma(g^k) = \sigma(g^{\kappa_0 + \kappa_1 p}) = \kappa_0 \beta_0 + \kappa_1 \beta_1$$

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Injection:

$$\sigma(g^k) = \sigma(g^{\kappa_0 + \kappa_1 p}) = \kappa_0 \beta_0 + \kappa_1 \beta_1$$

Action:

$$\begin{aligned} g^k * x &= \sigma \left(g^k \cdot \sigma^{-1} (\lambda_0 \beta_0 + \lambda_1 \beta_1) \right) \\ &= \sigma \left(g^{\kappa_0 + \kappa_1 p} \cdot g^{\lambda_0 + \lambda_1 p} \right) \\ &= \sigma \left(g^{(\kappa_0 + \lambda_0) + (\kappa_1 + \lambda_1)p} \right) \\ &= \begin{cases} (\kappa_0 + \lambda_0) \beta_0 + (\kappa_1 + \lambda_1) \beta_1, & \kappa_0 + \lambda_0 < p \\ (\kappa_0 + \lambda_0) \beta_0 + (\kappa_1 + \lambda_1 + 1) \beta_1, & \kappa_0 + \lambda_0 \geq p \end{cases} \\ &= \begin{cases} x + (\kappa_0 \beta_0 + \kappa_1 \beta_1), & \lambda_0 < p - \kappa_0 \\ x + (\kappa_0 \beta_0 + \kappa_1 \beta_1) + \beta_1, & \lambda_0 \geq p - \kappa_0 \end{cases} \end{aligned}$$

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- $C_{p^2} = \langle g \rangle$
- Let $[\beta] = [\beta_0, \beta_1]$ be a basis of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p
- Write $k = \kappa_0 + \kappa_1 p$

Example (The “Additive Representation” of C_{p^2})

$$f_{g^{\kappa_0 + \kappa_1 p}}^{[\beta_0, \beta_1]}(X) = X + \kappa_0 \beta_0 + \kappa_1 \beta_1 - \beta_1 \sum_{\lambda_0 = p - \kappa_0}^{p-1} \sum_{\lambda_1 = 0}^{p-1} \sum_{\ell = 0}^{p^2 - 2} ((\lambda_0 \beta_0 + \lambda_1 \beta_1)^{-1} X)^\ell$$

Equivalence of Representations

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Definition (Equivalence)

The polynomial representations f, f' generated by $\sigma, \sigma' : G \hookrightarrow \mathbb{F}_{p^n}$, respectively, are *equivalent* if there exists a group automorphism $\alpha : (\mathbb{F}_{p^n}, +) \rightarrow (\mathbb{F}_{p^n}, +)$ such that for all $g \in G$,

$$f_g(X) = (\alpha^{-1} \circ f'_g \circ \alpha)(X).$$

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Theorem

The “additive representations” of C_{p^2} in any two bases $[\beta]$ and $[\gamma]$ of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p are equivalent.

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Theorem

The “additive representations” of C_{p^2} in any two bases $[\beta]$ and $[\gamma]$ of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p are equivalent. Moreover,

$$f_g^{[\gamma]}(X) = L(X)^{[-1]} \circ f_g^{[\beta]}(X) \circ L(X),$$

where $L(X)$ is a polynomial of the form

$$L(X) = l_1 X^p + l_0 X$$

that represents the change of basis of $(\mathbb{F}_{p^2}, +)$ from $[\gamma]$ to $[\beta]$.

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$$f_g^{[\gamma]}(X) = L(X)^{[-1]} \circ f_g^{[\beta]}(X) \circ L(X),$$

where $L(X)$ is a polynomial of the form

$$L(X) = \sum_{i=0}^{n-1} \ell_i X^{p^i}$$

that represents the change of basis of $(\mathbb{F}_{p^n}, +)$ from $[\gamma]$ to $[\beta]$.

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Theorem

Let $[\beta_0, \beta_1]$ and $[\gamma_0, \gamma_1]$ be two bases of $(\mathbb{F}_{p^2}, +)$ over \mathbb{F}_p .
Then there exist unique $r \in \mathbb{F}_{p^2}^*$, $s \in \mathbb{F}_p$, and $t \in \mathbb{F}_p^*$ such that

$$f_g^{[\gamma_0, \gamma_1]}(X) = (N_t(M_s(rX)))^{[-1]} \circ f_g^{[\beta_0, \beta_1]}(X) \circ N_t(M_s(rX)),$$

where

$$M_s(X) = \frac{1}{\beta_0^p \beta_1 - \beta_0 \beta_1^p} \left(s \beta_1^2 X^p + (\beta_0^p \beta_1 - \beta_0 \beta_1^p - s \beta_1^{p+1}) X \right)$$

and

$$N_t(X) = \frac{1}{\beta_0^{p-1} - \beta_1^{p-1}} \left((t-1) X^p + (\beta_0^{p-1} - t \beta_1^{p-1}) X \right).$$

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Theorem (Generators of $GL(\mathbb{F}_{p^2})$)

Let $\beta_0, \beta_1 \in \mathbb{F}_{p^2}$ be linearly independent over \mathbb{F}_p , let $\rho \in \mathbb{F}_{p^2}^$ be primitive, and let $\psi, \tau \in \mathbb{F}_p$ with τ nonzero. Then the polynomials ρX ,*

$$M_\psi(X) = \frac{1}{\beta_0^p \beta_1 - \beta_0 \beta_1^p} \left(\psi \beta_1^{2p} X^p + (\beta_0^p \beta_1 - \beta_0 \beta_1^p - \psi \beta_1^{p+1}) X \right)$$

and

$$N_\tau(X) = \frac{1}{\beta_0^{p-1} - \beta_1^{p-1}} \left((\tau - 1) X^p + (\beta_0^{p-1} - \tau \beta_1^{p-1}) X \right)$$

generate a group of permutation polynomials isomorphic to the general linear group $GL(\mathbb{F}_{p^2})$.

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Are there any questions?